Attorney Docket No.: 100-006USA000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Continuation Application based on co-pending application of:

Applicant:

Thomas J. Perkowski

Serial No.

08/871,815

Filing Date

June 9, 1997

Title of Invention

SYSTEM AND METHOD FOR FINDING AND SERVING CONSUMER PRODUCT RELATED INFORMATION TO CONSUMERS USING INTERNET-BASED INFORMATION SERVERS

AND CLIENTS

Group Art Unit

2761

Examiner

Stephen Tkacs

Honorable Commissioner of Patents and Trademarks

Washington, DC 20231

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Sir:

In order to fulfill Applicant's continuing obligation of candor and good faith as set forth in 37 C.F.R. 1.56, Applicant submits herewith a Supplemental Information Disclosure Statement prepared in accordance with 37 C.F.R Sections 1.97, 1.98 and 1.99 for filing in the above-referenced Continuation Application Filed Under 37 C.F.R. 1.53(d).

The disclosures enclosed herewith are as follows:

#### U.S. PUBLICATIONS

NUMBER

**FILING DATE** 

TITLE

5.804.803

April 2, 1996

MECHANISM FOR RETRIEVING INFORMATION USING DATA ENCODED ON AN OBJECT

#### FOREIGN PUBLICATIONS

NUMBER

**PUBLICATION DATE** 

TITLE

WO 97/37319

October 9, 1997

A MECHANISM FOR RETRIEVING INFORMATION USING DATA ENCODED ON AN OBJECT

### STATEMENT OF PERTINENCE

US Patent No. 5,804,803 discloses, in Column 7 at lines 27-47 and Figs. 1B and 3 thereof, a system for serving product information to consumers using a UPC product database (136 in Figs 1B and 3), similar to the one disclosed in EPO Publication No. WO 97/01137 (Hudetz, et al./Solar Communications, Inc.) and EPO Publication No. EPO744856 (Penzias/ATT&T IPM, Inc. As disclosed in US Patent No. 5,804,803, a scanning device (118) connected to a client computer system (104, 106, 112) is used to read a code (117) on a consumer product

(117), and then the recovered UPC number is used to access the UPC product database (136) and access URL information (325) keyed to the inputted UPC number. The client computer system (102) then uses the URL to access product information (such as product name, unit price, and product location in store) for display to the consumer.

WIPO Publication No. WO 97/37319 discloses, at lines 13-32 on Page 12 and in Figs 1B and 3 thereof, a system for serving product information to consumers using a UPC product database (136 in Figs 1B and 3), similar to the one disclosed in WIPO Publication No. WO 97/01137 (Hudetz, et al./Solar Communications, Inc.) and EPO Publication No. EPO744856 (Penzias/ATT&T IPM, Inc. As disclosed in WIPO publication No. WO 97/37319, a bar code symbol reader (118) connected to a client computer system (104, 106, 112) is used to read a UPC (117) on a consumer product (115), and then the recovered UPC number is used to access the UPC product database (136) and access URL information (325) keyed to the inputted UPC number. The client computer system (102) then uses the URL to access product information (such as product name, unit price, and product location in store) for display to the consumer.

A separate listing of the above references on PTO Form 1449 and a copy of these references are enclosed herewith for the convenience of the Examiner.

The Commissioner is also hereby authorized to charge any fee deficiencies to Deposit Account No. 16-1340. A copy of this document is enclosed herewith.

Respectfully submitted,

Dated: August 26, 1999

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## CERTIFICATE OF EXPRESS MAIL UNDER C.F.R. 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service on August 26, 1999 as Express Mail (No. EL364975051US) in a postage prepaid envelope addressed to:

> Commissioner of Patents and Trademarks Washington, DC 20231

Name: Thomas J. Perkowski, Esq.

Dated: August 26, 1999

Substitute for form 1449A/PTO					
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT					
Sheet	1	of	1		

Co.	plet Known
-Application Number	08/871,815
Filing Date	June 9, 1997
First Name Inventor	Thomas J. Perkowski
Group Art Unit	2761
Examiner Name	Stephen Tkacs
Attorney Docket Number	100-006USA000

			U.S. PA	TENT DOCUMENT	<b>S</b>		
Examiner Initials		U.S. Patent Documents		Name of	Date of		
	Cite No.	Number	Kind Code (if known)	Patentee or Applicant of Cited Document	Publication of Cited Document MM-DD-YYYY	Intn'i Class / Sub Class	
		5,804,803		Cragun et al.	09/08/1998	G06K/7/10	

FOREIGN PATENT DOCUMENTS							
Examiner	Foreign Patent Document			Name of Patentee or	Date of Publication of Cited	Intn'i Class / Sub Class	Т
Initials	Office	Number	Kind Code (if known)	Applicant of Cited Document	Document MM-DD-YYYY	Sub Class	
	PCT	WO 97/37319		International Business Machines	10/09/1997		

PUBLICATIONS			
Examiner Initials	Cite No.	Description	

**EXAMINER** 

**DATE CONSIDERED** 

**EXAMINER:** Initial if reference considered, whether or not citation is inconformance with MPEP 609; Draw line through citation if not in conformance not considered. Include copy of this form with next communication to applicant.

(INFORMATION DISCLOSURE STATEMENT - SECTION 9 PTO-1449)